

ARRIS International, plc (ARRIS) Modern Slavery Statement

Introduction

Modern slavery is a crime and a violation of human rights. It takes various forms, such as slavery, servitude, forced and compulsory labor and human trafficking, all of which deprive a person's liberty by another to exploit them for personal or commercial gain.

ARRIS International plc, including its subsidiaries which includes ARRIS Global Ltd. (referred to as "ARRIS") has a zero tolerance for modern slavery, and we are committed to acting responsibly by implementing and enforcing effective systems and controls to ensure modern slavery is not taking place anywhere in our own business operations or our supply chain.

Our business & supply chain structure

ARRIS International plc is a global leader in entertainment, communications and networking technology. Our innovations combine hardware, software and services to enable advanced video experiences and constant connectivity across a variety of environments—for service providers, commercial verticals, small enterprises, and the billions of people they serve. We are headquartered in Suwanee, Georgia.

ARRIS collaborates with its customers across three core business units:

- Customer Premises Equipment (CPE), featuring access devices such as video set-tops and gateways, and broadband modems, gateways, and routers,
- Network & Cloud, combining broadband and video infrastructure with cloud-based software solutions,
- Enterprise Networks, incorporating the Ruckus Wireless and ICX Switch business and focusing on wireless and wired connectivity.

Our multi-tiered supply chain comprises suppliers and partners all over the world. Suppliers provide completed products as well as direct materials for our production processes and manufacturing partners.

Policies in relation to slavery and human trafficking

We have taken steps to ensure that slavery is not taking place in our business operations and supply chain through the [ARRIS Human Rights Policy](#) and [ARRIS Supplier Code of Conduct](#). The ARRIS code of conduct covers topics such as Slavery, forced labor, child labor, sex trafficking, human trafficking and workplace abuse. The code requires that our suppliers not use child or forced labor when producing our products, and comply with all applicable laws, rules and regulations in all locations where they conduct business. All new direct spend suppliers are required to formally sign the ARRIS Code of Conduct.

In addition to our supplier code of conduct, ARRIS is a signatory and full member of the [Responsible Business Alliance \(formerly EICC\)](#). The code contains specific requirements covering slavery and human trafficking.

Our due diligence Process

We have developed a process to verify, evaluate and address risks of slavery and human trafficking in our supply chain. Fig 1 outlines to core process steps:

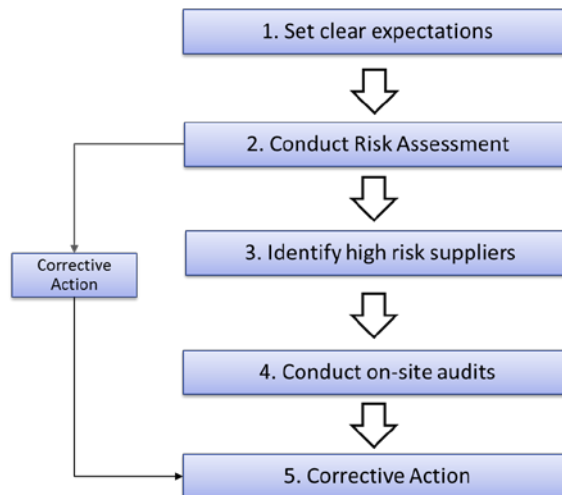


Fig1 Process Overview

- Our zero-tolerance approach to modern slavery is communicated to all suppliers, contractors and business partners at the outset of the relationship and reinforced as appropriate thereafter. We expect all those in our business operations and supply chain to comply with our code of conduct and policies. Our supplier contractual requirements mandate our approach to slavery and human trafficking in the supply chain.
- As part of our risk management process, we conduct an annual review and risk assessment of our supply base to identify '*areas of high concern*' in relation to slavery and human trafficking. A supplier's risk status is based on the supplier's RBA SAQ score (which includes a focus on human rights and modern slavery), previous RBA audit score and supplier location.
- We regularly audit our supply chain to evaluate and address risks of human trafficking and slavery as per the RBA full member requirements. Under our various supply contracts, we have the right to audit all our suppliers for compliance with the ARRIS Supplier Code of Conduct and applicable requirements. All suppliers are expected to keep accurate records to prove compliance with the Supplier Code of Conduct.
- To facilitate our audit process, we also employ independent third parties to conduct audits.
- Where non-compliances are identified, suppliers are required to develop an improvement plan. We monitor the supplier status against its improvement plan until compliance has been satisfied.

- If Suppliers choose not to comply with our code of conduct, we follow up to understand reasons for declining performance but ultimately the supplier can be removed from our supply chain if they are unable to comply.

Training

To ensure a high level of understanding of the risks of modern slavery and human trafficking in our supply chain and our business operations, we provide training to all relevant ARRIS employees. The training emphasizes our requirement that suppliers and those working on our behalf must comply with our Supplier Code of Conduct.

Building and improving the capability of suppliers to avoid slavery and human trafficking issues is paramount to our overall strategy, as such we are committed to sharing information about best practices to assist suppliers in improving conformation to the ARRIS supplier Code of Conduct and the requirements of the Responsible Business Alliance code.

Measure of effectiveness

We use the following key performance indicators (KPIs) to measure how effective we have been to ensure that slavery and human trafficking is not taking place in any part of our business operations or supply chain:

- Supplier performance rating.
- Supplier audits - complete v target.
- Number of incidents open v closed.
- Incident severity.
- Training completion rate.

Further details can be found on the [ARRIS Corporate Responsibility site](#).

Grievance and whistle blowing mechanisms

We have put in place systems to encourage the reporting of concerns and the protection of whistleblowers in relation to slavery and human trafficking issues.

This statement constitutes the ARRIS Modern Slavery statement for the 2018 financial year (1st Jan 2018 to 31st Dec 2018).

A handwritten signature in black ink, appearing to read "B. McClelland".

Bruce McClelland
ARRIS CEO
30 January 2019